

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: PAYNE *et al.*

Confirmation No.: 5267

Serial No.: 09/763,324

Art Unit: 1614

Filed: June 18, 2001

Examiner: C. Delacroix Muirheid

For: MODIFIED CHITOSAN  
POLYMERS AND  
ENZYMATIC METHODS  
FOR THE PRODUCTION  
THEREOF

Attorney Docket No: 9580-040-999

SUBMISSION OF SUBSTITUTE DECLARATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

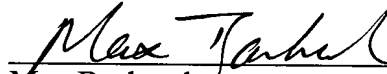
Sir:

Submitted herewith is a Substitute Declaration executed by the inventors,  
which correctly identifies the citizenship of Guneet KUMAR.

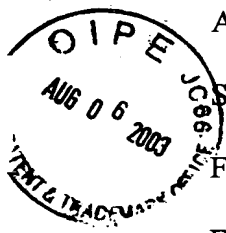
No fee is believed to be due for this submission. Should any fees be required,  
however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: August 6, 2003

  
Max Bachrach 45,479  
(Reg. No.)  
**PENNIE & EDMONDS** LLP  
1667 K Street, N.W.  
Washington, D.C. 20006  
(202) 496-4400

For: Laura A. Coruzzi (Reg. No. 30,742)  
**PENNIE & EDMONDS** LLP  
1155 Avenue of the Americas  
New York, NY 10036  
(212) 790-9090



#15  
JRP  
8/18/03  
RECEIVED  
AUG 07 2003  
TECH CENTER 1600/2900

## DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION\*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

## MODIFIED CHITOSAN POLYMERS AND ENZYMATIC METHODS FOR THE PRODUCTION THEREOF

and for which a patent application:

- ☒ was filed in the United States on June 18, 2001 as Application No. 09/763,324 and
- ☒ was filed as PCT international Application No. PCT/US99/19106 on August 20, 1999.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56:

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

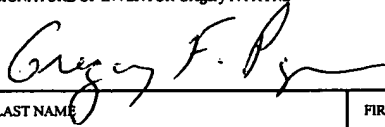
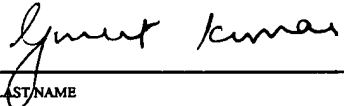
I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE
60/097,709	August 21, 1998

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	LAST NAME PAYNE	FIRST NAME Gregory	MIDDLE NAME F.	
	RESIDENCE & CITIZENSHIP	CITY Hunt Valley	STATE OR FOREIGN COUNTRY Maryland	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 1803 Miller Road	CITY Hunt Valley	STATE OR COUNTRY Maryland	ZIP CODE 21030
	SIGNATURE OF INVENTOR Gregory F. PAYNE 			DATE 4/4/03	
202	FULL NAME OF INVENTOR	LAST NAME KUMAR	FIRST NAME Guneet	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Ellicott City	STATE OR FOREIGN COUNTRY Maryland	COUNTRY OF CITIZENSHIP India	
	POST OFFICE ADDRESS	STREET 10218 Feraga Farm Court	CITY Ellicott City	STATE OR COUNTRY Maryland	ZIP CODE 21042
	SIGNATURE OF INVENTOR Guneet KUMAR 			DATE 4/23/03	
203	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 203			DATE	
204	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 204			DATE	
205	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 205			DATE	